SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* GLAXOSMITHKLINE PLC	2. Date or Requiring (Month/D 07/09/20	Statement ay/Year)	3. Issuer Name and Ticker or Trading Symbol <u>Nkarta, Inc.</u> [NKTX]					
(Last) (First) (Middle) 980 GREAT WEST ROAD		020	4. Relationship of Reporti Issuer (Check all applicable) X Director	ng Person(s) X 10% O	File 07	5. If Amendment, Date of Original Filed (Month/Day/Year) 07/09/2020 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person		
(Street) BRENTFORD MIDDLESEX X0 TW8 9GS (City) (State) (Zip)			Officer (give title below)	Other (s below)	(Ch			
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			 Amount of Securities Beneficially Owned (Instr. 4) 			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4) 2. Date Exercisable and Expiration Date (Month/Day/Year)		ate	3. Title and Amount of Se Underlying Derivative Se (Instr. 4)		4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr.	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	5)	
Series A Preferred Stock	(1)	(1)	Common Stock	79,090 ⁽²⁾	(1)(3)	I	See footnote ⁽⁴⁾	

Explanation of Responses:

1. The Series A Preferred Stock is convertible at a conversion rate equal to one share of Common Stock per 3.7 shares of Series A Preferred Stock at any time at the holder's election and automatically upon the closing of the Issuer's initial public offering. The shares have no expiration date.

2. These shares were omitted from the reporting person's original Form 3.

3. Gives effect to the one-for-3.7 reverse stock split of the Issuer's common stock and a proportional adjustment to the conversion ratio of the Series A Preferred Stock and Series B Preferred Stock, effective on July 1, 2020.

4. The shares reported herein are held of record by Glaxo Group Limited, an indirect, wholly-owned subsidiary of GlaxoSmithKline plc.

<u>/s/ Victoria Whyte</u>	
** Signature of Reporting	

07/14/2020

Date

Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.